

Requisite Capital Management LLC is required to disclose our Privacy Policy to our clients (“you”). We hope that by taking a few moments to read our Privacy Policy, you will have a better understanding of what information we may have, what we do with the information and how we protect your personal information.

Information we collect about you:

- To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. When you open an account, we will ask for your name, address, date of birth (for individuals), social security number and other information that will allow us to identify you. We will also ask to see your driver’s license, passport or other identifying documents. In the future, we may ask for additional information and/or documentation.
- When you open an account, enter in an agreement with us or elect to receive or apply for certain products or services you provide us with a variety of information about you including your income, assets, liabilities and investment experience.
- In the ordinary course of serving your account, we will have access to transactional information such as the buying or selling of any securities through us, our affiliates or other parties; and, for certain services, information obtained from consumer reporting agencies. All collected information is retained in accordance with Federal law.

Reasons why we share your non-public personal information:

- To provide information to affiliates of the firm and nonaffiliated third parties who perform services or functions for us in conjunction with our services to you, but only if we have a contractual agreement with the other party which prohibits them from disclosing or using the information other than for the purposes for which it was disclosed.
- We may disclose information we have about clients as permitted or required by law. For example, we may share information with regulatory authorities and law enforcement officials who have jurisdiction over us or if we are required to do so by applicable law and to provide information to protect against fraud.
- As a part of any actual or threatened legal proceedings or arbitration proceedings either initiated by us or against us by anyone, provided that we disclose only the information we deem reasonably necessary to file, pursue, or defend against the lawsuit and take reasonable precautions under the circumstances to ensure that the information disclosed does not become a matter of public record.
- To comply with a subpoena or summons.
- In the event of a prospective purchase, sale or merger of Requisite, provided that we take reasonable precautions (for example, through a written confidentiality agreement) so the prospective purchaser or merger partner agrees not to disclose information obtained in the course of the review.

We do not share your personal non-public information with unaffiliated third parties to market to you.